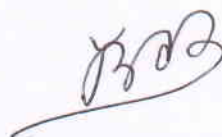


Telephone No. : 011-24622495 Aeronautical : VIDDYAYX E-Mail: dgoffice@dgca.nic.in Fax 011-24616783	GOVERNMENT OF INDIA AERONAUTICAL INFORMATION SERVICES DIRECTOR GENERAL OF CIVIL AVIATION OPPOSITE SAFDARJUNG AIRPORT NEW DELHI-110003	AIC Sl. No.10/2018 25 th October, 2018
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(B.S. BHULLAR)

Director General of Civil Aviation

GRANT OF PERMISSION FOR PROVIDING GROUND HANDLING SERVICES AT AIRPORTS OTHER THAN THOSE BELONGING TO THE AIRPORTS AUTHORITY OF INDIA

1. Introduction

1.1 Definitions

- (a) "apron" means a defined area of an airport intended to accommodate aircraft for the purpose of loading or unloading passengers or cargo, refuelling, parking or maintenance;
- (b) "ground handling" means services necessary for an aircraft's arrival at, and departure from, an airport other than air traffic control and it includes—
 - (i) ramp handling including activities as specified at Annexure 'A';
 - (ii) traffic handling including activities as specified at Annexure 'B'; and
 - (iii) any other activity specified by the Central Government from time to time;
- (c) "Ground Handling Agency" means an entity established for the purpose of providing ground handling service at an airport and security cleared by the Bureau of Civil Aviation Security and duly appointed by the airport operator;
- (d) "manoeuvring area" means the part of an airport or an aerodrome or civil enclave to be used for take-off, landing and taxiing of aircraft but does not include apron;
- (e) "movement area" means part of an airport or an aerodrome or civil enclave intended for surface movement of aircraft including the manoeuvring area and apron;

- (f) "regular employee" means a person employed and paid for a regular work directly by the employer without the intervention of a contractor; and does not include contract labourers as defined in the Contract Labour (Regulation and Abolition) Act, 1970 (37 of 1970);
- (g) "royalty" means compensation, consideration or fee paid for providing ground handling services at an airport payable to the airport operator in addition to applicable land or space rentals;
- (h) "self-handling" means the ground handling services relating to its own aircraft or helicopter by an airline or helicopter operator or its hundred percent owned subsidiary through its own regular employees, using equipment owned or taken on lease;
- (i) "terminal building" means the building or area of the airport or civil enclave which is used for embarkation or disembarkation or processing of passengers;

1.2 The Airports Authority of India (General Management, Entry for Ground Handling Services) Regulations, 2000 and Airports Authority of India (General Management, Entry for Ground Handling Services) Regulations, 2007, have been made under Section 42 of the Airports Authority of India Act, 1994 and thus are applicable to the airports managed by the Airports Authority of India. With the restructuring of certain airports and development of a few Greenfield airports in the private sector, it has become imperative for the Central Government to lay down the eligibility criteria for various agencies to undertake ground handling services at non-AAI airports. **The number of such agencies to be permitted at each airport is also to be determined by the Government having regard to all the relevant factors such as demand for such services, available infrastructure and competitive environment, without compromising the safety and security aspects.**

1.3 Rule 92 of the Aircraft Rules, 1937 provides that the licensed public aerodromes shall, while providing ground handling services themselves, ensure a competitive environment and allow the ground handling service providers permitted by the Central Government to provide ground handling services at such aerodromes without any restriction. **These ground handling service providers shall, however, be subject to security clearance of the Central Government. As such, it is for the Central Government to decide the agencies who can provide ground handling services at various aerodromes and also the eligibility criteria for such service providers.**

2. Eligibility Criteria for Ground Handling Service Providers

While the Airports Authority of India would promulgate the necessary regulations, with the previous approval of the Central Government, under the Airports Authority of India Act, 1994, with respect to provision of ground handling services at the airports under their control, it has been decided by the Central Government that the following entities shall be eligible to undertake ground handling services at airports other than those belonging to the Airports Authority of India:-

- 2.1** All domestic scheduled airline operators and scheduled helicopter operators will be free to carry out self-handling at all airports including civil enclaves.

- 2.2** A foreign airline may undertake self-handling in respect of passenger and baggage handling activities excluding security functions listed in paragraph 1 of AVSEC Order No. 03/2009 dated the 21st August, 2009 [enclosed as Annexure-C] at the airport terminals restricted to the passenger check-in at pre security hold area, at all the airports except civil enclaves.
- 2.3** At the cargo terminal except civil enclave, all cargo aircraft operator may undertake self handling excluding security functions listed in paragraph 1 of AVSEC Order No. 03/2009 referred to in sub-paragraph 2.2.
- 2.4** At the airport having annual passenger throughput of ten million passengers per annum or above, the airport operator shall ensure that there will be three ground handling agencies including that of, – (a) the airport operator or its joint venture or its hundred percent owned subsidiary; (b) a joint venture or a subsidiary of the Air India; and (c) any other ground handling agencies appointed by the airport operator through a transparent bidding process.
- 2.5** At the airport having annual passenger throughput of less than ten million passengers per annum, based on the traffic output and airside and terminal building capacity, the airport operator may decide on the number of ground handling agencies, not exceeding three, including that of, – (a) the airport operator or its joint venture or its hundred percent owned subsidiary; (b) a Joint Venture or a subsidiary of Air India; and (c) any other ground handling agency appointed by the airport operator through a transparent bidding process.
- 2.6** A ground handling agency, with foreign ownership of fifty percent or more of its paid-up capital shall not be allowed to undertake ground handling activities at the civil enclave.
- 2.7** At all airports, the joint venture or the subsidiary of Air India shall match the lowest royalty paid by the other ground handling agencies, as long as majority share in such entity is with the Government.
- 2.8** The provisions of sub-paragraphs 2.4, 2.5 and 2.7 relating to subsidiary or joint venture of the Air India shall apply so long as such ground handling agency remains a subsidiary or a fifty-fifty joint venture of a public sector undertaking and in case such ground handling agency ceases to be subsidiary or a fifty-fifty joint venture of a public sector undertaking, the provisions of sub-paragraphs 2.4, 2.5 and 2.7 shall continue to apply to such ground handling agency at all such airports where it is operating as a ground handling agency, for a period of thirty-six months from the date such ground handling agency ceased to be a subsidiary or a joint venture of a public sector undertaking.
- 2.9** No royalty is payable in case of self-handling.
- 2.10** All airlines, joint ventures, subsidiaries, ground handling agencies shall enter into an agreement with the airport operator for the satisfactory observance of performance of standards as may be mutually acceptable.

3. Entry into terminal building or movement area

Except as provided in rule 90 of the Aircraft Rules, 1937, the entities permitted to undertake ground handling services at airports in accordance with paragraph 2 shall also be allowed to enter and remain in the terminal building or movement area.

4. Security Protocol

- 4.1 All ground handling services shall be provided only through the regular employees of the entities permitted under paragraph 2.
- 4.2 No hiring of employees through handling contractor or manpower supplier shall be permitted.
- 4.3 An airline and agency allowed to carry out ground handling services at the airport shall ensure compliance to security provisions as required under any law for the time being in force; and
- 4.4 The ground handling agency, unless it acquire the status of regulated agent or otherwise authorised to do so by the Bureau of Civil Aviation Security, shall not undertake the security functions listed in paragraph 1 of AVSEC Order referred to in sub-paragraph 2.2 of paragraph 2 hereinabove.

5. Equipment

- 5.1 At all airports, in order to overcome space and time constraints, the airport operator shall provide adequate number of common user terminal equipment (CUTE) and common user self-service (CUSS).
- 5.2 At the airport having annual passenger throughput of one and a half million passengers per annum or above, the airport operator shall provide adequate number of baggage reconciliation system:
Provided that where the annual passenger throughput is less than one and a half million passengers per annum, the airport operator may decide the number of baggage reconciliation system as may be necessary.
- 5.3 All agencies concerned shall ensure the use of state-of-art equipment and best practices in line with the International Air Transport Association Airport Handling Manual; and
- 5.4 The airport operator shall, as far as may be, provide adequate parking space for the equipment required for ground handling purpose, within the airport premises on mutually agreed terms and conditions.

6. Coming into Force

- 6.1 All airport operators shall complete the process of selection of requisite number of ground handling agencies by the 31st October, 2018 unless extended by Government of India.
- 6.2 The ground handling agency so appointed shall commence its operation expeditiously and in any case not later than the 30th June, 2019.

- 6.3 Airport where duly appointed ground handling agency is already in place and where any agency which is not permitted under paragraph 2 is operating, such agencies shall not be allowed to continue after the 31st October, 2018 unless extended by Government of India.
- 6.4 Any agency which is not permitted under paragraph 2 and carrying out the ground handling activities on the commencement of this Circular, at an airport or civil enclave other than those referred to in sub-paragraph 6.3, shall be allowed to continue till the 30th June, 2019 or till thirty days from the commencement of operations by the ground handling agencies duly appointed under this Circular, whichever is earlier.
- 6.5 The Bureau of Civil Aviation Security shall issue airport entry permits to the existing ground handling agencies till such time their operations are allowed to continue under this Circular.
- 6.6 This policy shall come into force with **immediate effect**.

RAMP HANDLING

1. Aircraft handling

- 1.1 Attendance
- 1.2 Marshalling
- 1.3 Parking
- 1.4 Starting
- 1.5 Safety measures
- 1.6 Mooring of Aircraft
- 1.7 Ramp to flight deck communication

2. Aircraft servicing

- 2.1 Liaison for fuelling and defueling
- 2.2 Liaison with suppliers for replenishing of oil and other fluids
- 2.3 Cabin Equipment
- 2.4 Routine and Non-Routine services
- 2.5 Cooling and Heating
- 2.6 Storage of cabin material

3. Aircraft Cleaning

- 3.1 Exterior cleaning
- 3.2 Interior cleaning
- 3.3 Toilet service
- 3.4 Water service

4. Loading or Unloading

- 4.1 Loading and unloading of passenger baggage
- 4.2 Transshipment of passenger baggage
- 4.3 Operation of Loading or Unloading equipment
- 4.4 Position and removing of passenger stairs or bridges
- 4.5 Emplane or Deplane passengers
- 4.6 Break or Make-up of baggages
- 4.7 Bussing of passengers or crew
- 4.8 Bulk loading or unloading of baggage
- 4.9 Catering ramp handling
- 4.10 Load control
- 4.11 Communication
- 4.12 Flight operations
- 4.13 Crew administration

5. Cargo handling services

- 5.1 Loading, off-loading, export, import and transshipment cargo on or from the aircraft
- 5.2 Mail handling services
- 5.3 Operate or provide or arrange essential equipment for handling of cargo
- 5.4 Transshipment of cargo
- 5.5 Palletisation or containerisation of cargo
- 5.6 Break-up or Make-up of cargo container or unit load device
- 5.7 Bulk loading or unloading
- 5.8 Cargo and mail handling
- 5.9 Customs control
- 5.10 Documentation handling
- 5.11 Physical handling outbound or inbound
- 5.12 Transfer or transit cargo
- 5.13 Post office mail

6. Support Services

- 6.1 Accommodation
- 6.2 Automation or Computer systems
- 6.3 Unit load device control
- 6.4 Fuel farm (Depot)
- 6.5 Ramp fuelling or defueling operations
- 6.6 Surface transport
- 6.7 Catering services – liaison and administration

TRAFFIC HANDLING

1. Terminal Services

- 1.1 Handling documents and load control
- 1.2 Passenger and baggage handling at the airport terminals
- 1.3 Cargo handling services at the airport terminals
- 1.4 Mail handling services at the airport terminal
- 1.5 Traffic services at the airport terminals including passenger check-in

2. Flight Operations

- 2.1 Inform the carrier of any known project affecting the operational services and facilities made available to its aircraft in the areas of responsibility
- 2.2 Flight preparation at the airport of departure
- 2.3 Flight preparation at a point different from the airport of departure
- 2.4 In-flight assistance
- 2.5 Post flight activities
- 2.6 In-flight re-dispatch
- 2.7 Communication system associated with Ground Handling
- 2.8 Material handling

3. Surface Transport

- 3.1 Arrangement for the transportation of passengers or baggage and cargo between separate terminals at the same airport
- 3.2 Arrangements for passengers or crew transport together with their baggage between airport and city or other agreed points

4. Representational Services

- 4.1 Liaison with local authorities
- 4.2 Information to interested parties, movement of carrier aircraft
- 4.3 Disbursement of payment on behalf of the carriers at all airports
- 4.4 Supervision and administration services

SECURITY REQUIREMENTS

NO.CAS-6(1)/2008/Div.I (Sec.functions)
BUREAU OF CIVIL AVIATION SECURITY
(MINISTRY OF CIVIL AVIATION)
GOVERNMENT OF INDIA
A' WING (I-III Floor), JANPATH BHAVAN
JANPATH, NEW DELHI
Dated: 21/08/2009

AVSEC Order No. 03/2009

Sub: Security Functions to be carried out by aircraft operators.

In exercise of powers conferred by Section 5A of Aircraft Act 1934 delegated to him vide Government of India, Ministry of Civil Aviation Notification No. 1797 dated July 3, 1997, read with para-4 of DGCA Circular No. 9/1/2002-IR dated 28/9/07; and Regulations 6 and 7 of the Airports Authority of India (General Management, Entry for Ground Handling Services) Regulations, 2007 issued vide Gazette of India (Extraordinary) Notification NO.AAI/LEGAL/GHREG/2007 dated 18.10.2007, the Commissioner of Security (Civil Aviation), Bureau of Civil Aviation Security (BCAS), for the purpose of securing the safety of aircraft operations, directs that the following activities **pertaining to aircraft operations** shall be treated as Aircraft Operators' Aviation Security Functions:

- i) Access control to the aircraft;
- ii) Aircraft security search/Security check during normal as well as bomb threat situation;
- iii) Screening of registered /unaccompanied baggage, cargo, mail and company stores etc. ;
- iv) Surveillance of screened baggage till acceptance at check-in counters;
- v) Security control of the checked baggage from the point it is taken into the charge of aircraft operator till loading into aircraft;
- vi) Passengers baggage reconciliation/identification
- vii) Security of baggage tag, boarding cards and flight documents;
- viii) Security of mishandled/unaccompanied/transit /transfer baggage;
- ix) Secondary checks at ladder point of aircraft;
- x) Security of catering items from pre-setting stage till loading into aircraft;
- xi) Security control of express cargo, courier bags, cargo, company stores, parcels, mail bags and escorting from city side up to aircraft;
- xii) Receiving, carriage and retrieval of security removed articles;
- xiii) Any other security functions notified by the Commissioner from time to time.

2. Despite the fact that the above activities are carried out on ground at the airports, keeping in view the AVSEC requirements under the current surcharged security scenario, these AVSEC functions cannot be mixed-up with other ground handling activities, and these AVSEC functions shall not be allowed by an aircraft operator/ airport operator to be undertaken by a Ground Handling Agency.

3. The above mentioned security functions shall be carried out by the concerned airlines' security personnel who possess all competencies required to perform their duties and are appropriately trained and certified according to the requirements of the approved Security Programme of respective Aircraft Operator and the National Civil Aviation Security Programme of India.
4. Foreign airlines may enter into agreement with Indian Air carriers having international operation from that airport only after specific approval from the BCAS in each case.
5. As approved by Ministry of Civil Aviation (GoI) vide letter no. AV-24013/004/2007-AAI dated 20th March 2008, the In-line screening of hold baggage to be transported by an aircraft operator from the airports in India, shall be carried out by trained and BCAS certified screeners of respective airport operator or NACIL or their JV at airports having In-line baggage Inspection System.
6. Screening and security control of Cargo consignments may also be undertaken by trained and BCAS certified screeners of Regulated Agents approved by the BCAS in accordance with the instructions issued by the BCAS from time to time.
7. The responsibility for all security related functions shall be with the airlines concerned. For this purpose, a security coordinator shall be designated by the respective airlines at each airport from where they shall have operations.
8. This order supersedes all instructions (except BCAS Cir. No. 4/2007) on the subject and shall come into force with immediate effect. Violation of this order will attract legal action under section 11A of Aircraft Act, 1934.

This issues with the approval of Commissioner of Security (CA)

Sd/-
(M. Malaviya)
I.P.S
Addl. Commissioner of Security (CA)